

# Tips from the Trenches: Ten Tips from an IRB Reviewer for a Successful IRB Application

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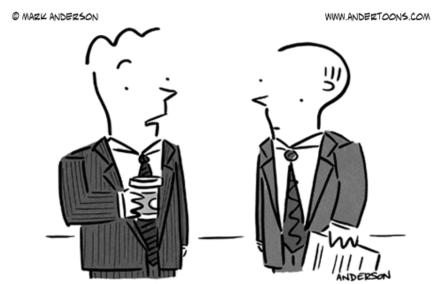
### 1 – Alignment

#### **Alignment among:**

- Online application
- Consent forms
- Proposal
- Appendices

#### **Common Errors**

- # of participants
- Duration
- Who gets what instrument or procedure



"You ever have one of those days where you just don't feel like aligning?"



#### 2 - Precise Methods

- Research methods need to be detailed enough that it is clear what is going on
- Be clear about what is going on in the setting without a research study & what the research study is layering on top of the setting.
- Alignment among documents
- Break into phases if relevant
- Make it easy to understand & read



"You are completely free to carry out whatever research you want, so long as you come to these conclusions."

- underline, bullet & sub-head to help ease of reading.
- Be precise: What? How many times? When? Where is it taking place? Who is getting it? Who is giving it?



#### 3 - Consent Process

- CONSENT adults only
- ASSENT under 18
- PARENTAL PERMISSION— consent for child
- Process should be in a non-coercive environment, time to reflect, ask Qs, take the form home & bring back later
- In group consent environments be aware of social pressure to consent



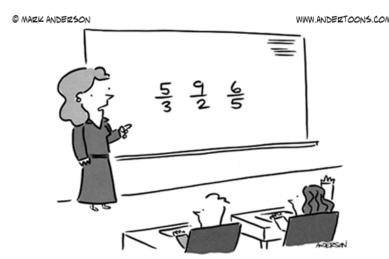
"Personally, I wouldn't have signed it."

- Waiver of consent documentation they are consented but no signature.
- Waiver of consent only in exceptional circumstances.
- Alteration of consent don't explain study accurately ahead of time



# 4 - Consent & Parental Permission

- Use online templates—it has all the components. Put on letterhead.
- Add a cover letter to make it more friendly ©
- Non-technical language 8<sup>th</sup> grade reading level
- Procedures: Be precise: What is being done? How many times? Where is it taking place? Who is doing it to them?
- Duration how long differentiate between typical practice & research.



"No, you don't need a permission slip to learn about improper fractions."

Videotaping – what happens to tapes after? Who sees them.
 Maybe add a separate permission check box.



#### 5 - Child Assent

- Assent comes AFTER parental permission
- Can be done individually or groups
- Read or written be aware of reading level not just age
- Let child know parent has signed a form
- Explained in child language
- Use assent template
- In group assent be aware of social pressure or coercion
- I personally assent 4 yr olds using smiley face and straight face



"I didn't feel answers were necessary. All the questions seemed rhetorical."



#### 6 - Engaged or Not?

- What makes a person considered part of the research team or not during the consent process?
- If study team member=CITI + eCOI
- ENGAGED can recruit, answer Qs in consent process, hand out/collect forms assent people, sign off as study staff
- NOT-ENGAGED can hand out forms, collect forms & hand to study staff. A conduit between participants & study staff. Cannot answer questions about



study or encourage participants to engage in study. Can identify groups of potential participants who are recruited by study staff but not contact them & recruit them into the study.



## 7 - Videoing

- Things to consider when you video:
- Position of the camera how do you not include people who have not been consented?
- Where video is kept and viewed needs to be secure & private environment.
- Video is an "identifier" and is not "de-identified" information
- Needs to be mentioned on all consent forms



"Try not to scream if you don't make it all the way over. You don't want to look like a wimp on the Internet."

• Use of video after the study is terminated. Can use separate check boxes to keep the video after the study for training purposes or presentation at conferences.



#### 8 - Incentives

- Incentives cannot be coercive this is population specific
- Need to be described in consent documents.
- Need to be pro-rated how much do you get if you only do a part of the test procedures.
- If you use incentives need to CAREFULLY document who gets them. Gift cards have a very specific process.





### 9 - Confidentiality

- Ensure confidentiality where possible,
   pseudonyms, participant #s
- You cannot ensure confidentiality in a group interview. You need wording in your consent form to that point.
- Demographic data may predispose to not assuring confidentiality.
- Qualitative data collection transcription of field notes by commercialized entity needs to be written into IRB.





### 10 -Staying Compliant

- Getting IRB is the first step. Staying compliant is equally important.
- Don't change anything unless an amendment is approved.
- Train study staff in procedures and let them know the importance of
  - sticking to protocol. Be detailed oriented in training your staff. Well meaning study staff have got people into hot water.
- Sign consent forms & keep for 3 years post study completion.
- If mistakes happen submit an incident report.
   The IRB are friendly.
- Reach out to IRB staff for advice. They are fabulous & very focused on supporting faculty.

